

## Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2023

### Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP\*/CRWP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

\*Recognised under the QF at Level 4, QR Registration No.: 20/000536/L4, Validity Period from 01/08/2020 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 20/000539/L5, Validity Period from 01/08/2020 to 31/07/2025

### Training: Q1 – Q4 2023


 IA CPD<sup>1</sup>

 HKIB CPD<sup>2</sup>


SFC CPT


 FLEX Learning<sup>3</sup>

Module Name	Module No.	Code	Date	Time	Language (Supplemented with English materials)	Application Deadline <sup>4</sup>	Fee <sup>5,6</sup> (HKD)
Essentials of Banking (Credit: 20)	3	<b>HERW03P23031</b> <b>FLEX Learning – Virtual Classroom</b>	01 Mar 2023 (Wed) 08 Mar 2023 (Wed) 15 Mar 2023 (Wed) 22 Mar 2023 (Wed) 29 Mar 2023 (Wed)	19:00 – 22:00	Cantonese	15 Feb 2023 (Wed)	4,050
		<b>HERW03P23061</b> <b>FLEX Learning – Virtual Classroom</b>	02 Jun 2023 (Fri) 09 Jun 2023 (Fri) 16 Jun 2023 (Fri) 23 Jun 2023 (Fri) 30 Jun 2023 (Fri)	19:00 – 22:00	Cantonese	18 May 2023 (Thu)	4,050
		<b>HERW03P23101</b> <b>FLEX Learning – Virtual Classroom</b>	03 Oct 2023 (Tue) 10 Oct 2023 (Tue) 17 Oct 2023 (Tue) 24 Oct 2023 (Tue) 31 Oct 2023 (Tue)	19:00 – 22:00	Cantonese	18 Sep 2023 (Mon)	4,050
Investment and Asset Management: Product Solutions (Credit: 20)	5	<b>HERW05P23031</b> <b>FLEX Learning – Virtual Classroom</b>	02 Mar 2023 (Thu) 09 Mar 2023 (Thu) 16 Mar 2023 (Thu) 23 Mar 2023 (Thu) 30 Mar 2023 (Thu)	19:00 – 22:00	Cantonese	16 Feb 2023 (Thu)	4,050
		<b>HERW05P23061</b> <b>FLEX Learning – Virtual Classroom</b>	01 Jun 2023 (Thu) 08 Jun 2023 (Thu) 15 Jun 2023 (Thu) 21 Jun 2023 (Wed) 29 Jun 2023 (Thu)	19:00 – 22:00	Cantonese	17 May 2023 (Wed)	4,050
		<b>HERW05P23101</b> <b>FLEX Learning – Virtual Classroom</b>	04 Oct 2023 (Wed) 11 Oct 2023 (Wed) 18 Oct 2023 (Wed) 25 Oct 2023 (Wed) 01 Nov 2023 (Wed)	19:00 – 22:00	Cantonese	19 Sep 2023 (Tue)	4,050
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	<b>HERW06P23041</b> <b>FLEX Learning – Virtual Classroom</b>	06 Apr 2023 (Thu) 13 Apr 2023 (Thu) 20 Apr 2023 (Thu) 27 Apr 2023 (Thu) 04 May 2023 (Thu)	19:00 – 22:00	Cantonese	22 Mar 2023 (Wed)	4,050
		<b>HERW06P23071</b> <b>FLEX Learning – Virtual Classroom</b>	06 Jul 2023 (Thu) 13 Jul 2023 (Thu) 20 Jul 2023 (Thu) 27 Jul 2023 (Thu) 03 Aug 2023 (Thu)	19:00 – 22:00	Cantonese	21 Jun 2023 (Wed)	4,050
		<b>HERW06P23111</b> <b>FLEX Learning – Virtual Classroom</b>	06 Nov 2023 (Mon) 13 Nov 2023 (Mon) 20 Nov 2023 (Mon) 27 Nov 2023 (Mon) 04 Dec 2023 (Mon)	19:00 – 22:00	Cantonese	20 Oct 2023 (Fri)	4,050

Financial Planning and Wealth Management (Credit: 20)	7	HERW07P23051 FLEX Learning – Virtual Classroom	03 May 2023 (Wed) 10 May 2023 (Wed) 17 May 2023 (Wed) 23 May 2023 (Tue) 31 May 2023 (Wed)	19:00 – 22:00	Cantonese	18 Apr 2023 (Tue)	4,050
		HERW07P23111 FLEX Learning – Virtual Classroom	02 Nov 2023 (Thu) 09 Nov 2023 (Thu) 16 Nov 2023 (Thu) 23 Nov 2023 (Thu) 30 Nov 2023 (Thu)	19:00 – 22:00	Cantonese	18 Oct 2023 (Wed)	4,050

**Examination: Q1 – Q4 2023, Q1 2024**

Module Name	Module No.	Code	Date	Time	Application Deadline <sup>4</sup>	Fee <sup>5</sup> (HKD)
Essentials of Banking (Credit: 20)	3	EXAM-2022-10130	28 Feb 2023 (Tue)	19:00 – 21:30	27-Jan-2023 (Fri)	1,100
		EXAM-2022-10131	27 Apr 2023 (Thu)	19:00 – 21:30	27-Mar-2023 (Mon)	1,100
		EXAM-2022-10132	29-Jun-2023 (Thu)	19:00 – 21:30	29-May-2023 (Mon)	1,100
		EXAM-2022-10133	31-Aug-2023 (Thu)	19:00 – 21:30	31-Jul-2023 (Mon)	1,100
		EXAM-2022-10134	26-Oct-2023 (Thu)	19:00 – 21:30	26-Sep-2023 (Tue)	1,100
		EXAM-2022-10135	19-Dec-2023 (Tue)	19:00 – 21:30	20-Nov-2023 (Mon)	1,100
Investment and Asset Management: Product Solutions (Credit: 20)	5	EXAM-2022-10136	13-Apr-2023 (Thu)	19:00 – 21:30	13-Mar-2023 (Mon)	1,100
		EXAM-2022-10137	13-Jun-2023 (Tue)	19:00 – 21:30	12-May-2023 (Fri)	1,100
		EXAM-2022-10138	14-Sep-2023 (Thu)	19:00 – 21:30	14-Aug-2023 (Mon)	1,100
		EXAM-2022-10139	19 Dec 2023 (Tue)	19:00 – 21:30	20-Nov-2023 (Mon)	1,100
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	EXAM-2022-10140	20 Apr 2023 (Thu)	19:00 – 21:30	20-Mar-2023 (Mon)	1,100
		EXAM-2022-10141	06-Jul-2023 (Thu)	19:00 – 21:30	06-Jun-2023 (Tue)	1,100
		EXAM-2022-10142	26-Oct-2023 (Thu)	19:00 – 21:30	26-Sep-2023 (Tue)	1,100
		EXAM-2022-10143	05-Mar-2024 (Tue)	19:00 – 21:30	05-Feb-2024 (Mon)	TBC
Financial Planning and Wealth Management (Credit: 20)	7	(Multiple Choice) EXAM-2022-10144	19-May-2023 (Fri)	19:00 – 20:15	19-Apr-2023 (Wed)	1,100 <sup>8</sup>
		(Case & Oral) <sup>7</sup> EXAM-2022-10145	21-May-2023 (Sun)	TBC	21-Apr-2023 (Fri)	
		(Multiple Choice) EXAM-2022-10146	18-Aug-2023 (Fri)	19:00 – 20:15	18-Jul-2023 (Tue)	1,100 <sup>8</sup>
		(Case & Oral) <sup>7</sup> EXAM-2022-10147	20-Aug-2023 (Sun)	TBC	20-Jul-2023 (Thu)	
		(Multiple Choice) EXAM-2022-10148	17-Nov-2023 (Fri)	19:00 – 20:15	17-Oct-2023 (Tue)	1,100 <sup>8</sup>
		(Case & Oral) <sup>7</sup> EXAM-2022-10149	19-Nov-2023 (Sun)	TBC	19-Oct-2023 (Thu)	
		(Multiple Choice) EXAM-2022-10150	26-Jan-2024 (Fri)	19:00 – 20:15	22-Dec-2023 (Fri)	TBC <sup>8</sup>
		(Case & Oral) <sup>7</sup> EXAM-2022-10151	28-Jan-2024 (Sun)	TBC	28-Dec-2023 (Thu)	

**Special Note:**

- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details
- For Module 7 applicants:
  - a. Please note that you are required to complete the training and examination of Module 1 to Module 6 before applying the training for Module 7.

- b. For Module 1, Module 2 and Module 4, exemption from HKIB as equivalent is accepted. However, you should apply the exemption at least 2 months before the Module 7 training start date.

### Programme Details

- Programme / Module Outline : Please refer to the programme brochure and the handbook for details
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : By email at [application@hkib.org](mailto:application@hkib.org) or submit to our institute in person or by mail

### Notes:

Note	Category	Details
1 & 2	CPD	- IA CPD will be applicable to Modules 3, 5, 6 & 7 only - By attending the above training, Professional Qualification holders of ACRP, CCRP(CL), CCRP(CPM), ACsP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.
3	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
4	Late Application	A late entry fee of HKD200 will apply for late training and examination enrolment respectively.
5	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
6	Training Materials	A digital version of Study Guide and PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.
7	Examination (Time)	Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
8	Examination (Fee)	The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HKD1,100 respectively.
9	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination schedule.

### For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)

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